



University of  
Zurich <sup>UZH</sup>



EuropaInstitut

AN DER UNIVERSITÄT ZÜRICH

Continuing Education

# Certificate of Advanced Studies Compliance Management

2023



## CAS COMPLIANCE MANAGEMENT (15 ECTS)

This CAS is aiming to provide current and future compliance officers with an introduction to relevant areas of the working methods of persons in the function of a compliance officer. Participants of the course should obtain knowledge in areas such as business process management, quality management, system engineering, life cycle networks, internal control systems, operational and financial risk management, information technology, as well as project and people management.

The course combines academic teaching and research with practical application and at the same time promotes professional, methodical and social skills, taking into account the international dimension and cross-border compliance requirements.\*

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### MODUL 1

#### Compliance Setup

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- Define / Establish Compliance: Strategic Requirements, Risk Management, Policies and Organization
  - Prevent / Promote: Prevention and Promotion, Information Technology
  - Detect: Controlling, Reporting, Documentation, Whistleblowing, Auditing
  - Respond / Remediate
  - Investigations, Remediation
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### MODUL 2

#### Implementation Management – Workshops on Core Compliance Areas

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- Workshop: Management Responsibility and Liability
  - Psychology and Compliance
  - Workshop: Insurance
  - Workshop: Anticorruption/Antifraud
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#### Advisory Board

- Dr. Stefano Caldo, Partner, LANTER, Anwälte & Steuerberater
  - Olaf von der Lage, CEO, Complias AG, Board Member SACO
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### Participants

The programme is aimed at prospective and existing compliance officers, corporate lawyers and economists who wish to improve their professional qualifications. The focus is on practical relevance and the international dimension with its cross-border requirements.

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### Course Language

English

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### Application Deadline

30. November 2022

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### Course Duration

January - June 2023  
Friday afternoons and Saturday mornings

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### Costs

9'800 CHF incl. digital study material, examination fees and graduation ceremony

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### Information

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\* The course will only be held if there is a sufficient number of participants.